

17414 U.S. PTO  
09/29/03

PTO/SB/05 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. COOK 8713C5  
First Inventor COOK, DANIEL J.  
Title LARYNGEAL MASK ADAPTER  
Express Mail Label No. ER 422266964 US

22284 U.S. PTO  
10/674585  
09/29/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/829,157

Prior application information:

Examiner EREZO

Art Unit: 3671

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number:

162201

OR ☐ Correspondence address below

Name  
Address  
City State Zip Code  
Country Telephone Fax

Name (Print/Type) AJA,JI K AMOS Registration No. (Attorney/Agent) 46,831  
Signature [Signature] Date SEPT. 29, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. Time will vary depending upon the individual case. Any comments including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date: September 29, 2003  
Attorney Docket No. COOK 8713 C5  
First Inventor: Daniel J. Cook  
Title: LARYNGEAL MASK ADAPTER  
Express Mail Label No. ER 422266964 US

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Attached are:

- ☒ Specification (Total Pages 12)
  - ☒ Claims (Total Pages 1)
  - ☒ Abstract (Total Pages 1)
  - ☒ Drawing(s) ☐ Informal ☒ Formal (Total Sheets 5)
  - ☒ Declaration (Total Pages 2)
    - ☐ Newly executed (original or copy)
    - ☒ Copy from a prior application (for continuation/divisional)
  - ☒ Power of Attorney (Total Pages 2)
    - ☒ Newly executed (original or copy)
    - ☒ Copy from a prior application (for continuation/divisional)
  - ☒ Assignment Papers (cover sheet & document(s))
  - ☒ Information Disclosure Statement
    - ☐ Copies of IDS citations
  - ☒ **Non-Publication Request**
    - I hereby request that the attached application **not** be published under 35 U.S.C. 122(b). (if yes, be sure to fill out non-publication request form)
  - ☒ Return Receipt Postcard
  - ☐ Other:
  - ☒ Applicant claims small entity status
-

### FEE CALCULATION

	Number Filed		Number Extra	Other Than A Small Entity	Small Entity	Basic Fee
Basic Fee				\$750.00	\$375.00	\$375.00
Total Claims	5	- 20 =	0 x	\$ 18.00	\$ 9.00=	\$ 0.00
Independent Claims	2	- 3 =	0 x	\$ 84.00	\$ 42.00=	\$0.00
Multiple Dependent Claims	0			\$280.00	\$140.00	\$0.00

**TOTAL AMOUNT OF PAYMENT \$375.00      CUSTOMER NO.: 1688**

**METHOD OF PAYMENT** (Check all that apply)

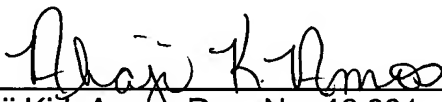
☒ Check    ☐ Credit Card    ☐ Money Order    ☐ Other    ☐ None

**Deposit Account:** 162201

**Deposit Account Name:** Polster, Lieder, Woodruff & Lucchesi, L.C.

**The Commissioner is authorized to:** (Check all that apply)

- ☒ Charge any additional fees  
☐ Charge fee(s) indicated above to Deposit Account 162201  
☒ Credit any overpayments

  
\_\_\_\_\_  
Ahaji Kirk Amos, Reg. No. 46,831  
Polster, Lieder, Woodruff & Lucchesi, L.C.  
763 South New Ballas Road, Suite 230  
St. Louis, Missouri 63141-8750  
Telephone: (314) 872-8118  
Facsimile: (314) 991-2178

**EXPRESS MAIL CERTIFICATE OF MAILING (37 C.F.R. 1.10)**

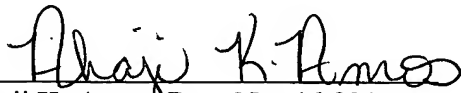
Express Mail No: ER 422266964 US  
RE: U.S. CIP Patent Application  
TITLE: LARYNGEAL MASK ADAPTER  
DOCKET No. COOK 8713 C5  
INVENTOR: Daniel J. Cook

Transmitted herewith is:

New patent application transmittal, including fee calculation sheet, continuation patent application with 14 pages of specification, including 12 pages of description, 1 pages of claims, 1 page of Abstract, 5 sheets of formal drawings, 3 Information Disclosure Statements, Request for Non-Publication, Assignment and Recordation Cover Sheet. A check for \$415.00 is enclosed to cover the filing fee and recordation of assignment, and return postcard.

I hereby certify that this U.S. Patent Application is being deposited with the United States Postal Service utilizing the "Express Mail Post Office to Addressee" service addressed to Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on September 29, 2003.

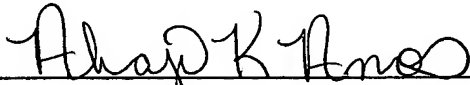
September 29, 2003  
Date of Signature

  
Ahaji K. Amos, Reg. No. 46,831  
Polster, Lieder, Woodruff & Lucchesi, L.C.  
763 S. New Ballas Road  
St. Louis, MO 63141-8750  
Tel (314) 872-8118  
Fax (314) 991-2178

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Daniel J. Cook
	Title	LARYNGEAL MASK ADAPTER
	Attorney Docket Number	COOK 8713 C5

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

<u>September 29, 2003</u> Date	 Signature
<u>(314) 872-8118</u> Telephone number	<u>Ahaji K. Amos, Reg. No. 46, 831</u> Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.